

Incoming Rollover Form

- Complete this form to initiate a direct rollover of assets from a different qualified 529 plan or Education Savings Account (ESA) to your current Stable Value Plus account. Important: If you haven't established a Stable Value Plus account, you can enroll immediately online at www.collegeinvest.org, or download, complete and enclose an Enrollment Application with this form.
- Or you can call us to order any form at 800-448-2424 Monday through Friday from 8 a.m. to 5 p.m., Mountain time. Return this form and any other required documents to: **CollegelInvest Stable Value Plus, 1560 Broadway, Suite 1700, Denver, CO 80202.**
- **Important:** Please contact the financial institution currently holding the assets to verify the correct mailing address, determine the need for a signature guarantee, and find out whether it has any additional requirements for rolling over these assets. Print clearly, preferably in capital letters and black ink.

K Type of Rollover (Check one.)

- Transfer from a different 529 college savings plan to your Stable Value Plus Account.
- Transfer from an Education Savings Account (ESA) to your Stable Value Plus account.
- Transfer from a different CollegelInvest 529 Plan to your Stable Value Plus account. Note: This option is considered your one permitted annual exchange.
- Transfer from a different Stable Value Plus account to your Stable Value Plus account

X TRANSFER TO Your CollegelInvest Stable Value Plus Account

Account Number (Don't have an account? You can immediately open an account at www.collegeinvest.org to get your new account number for this form.)

Name of Account Owner (first, middle initial, last)

Daytime Telephone Number

Mobile Telephone Number

Name of Beneficiary (first, middle initial, last)

Last Four Digits of Beneficiary's Social Security Number or Individual Taxpayer ID Number

X TRANSFER FROM the Current 529 Plan Manager or Education Savings Account (ESA) Custodian

The 529 plan or ESA from which you are moving assets must have the same account owner name and Social Security number or individual taxpayer ID number as your Stable Value Plus account. Please contact your current 529 plan manager or ESA custodian for the proper mailing address.

Name of Financial Institution (where your current 529 account or ESA is held)

Full Name of 529 Plan (If assets are from an ESA, leave blank.)

Mailing Address of Current Plan Manager or Custodian (the financial institution)

City

State

Zip

Contact Person (if applicable)

Telephone Number

- Check this box if the **beneficiary** on this account is **different from** the beneficiary indicated in **Section 2**.

4 Instructions to Current 529 Plan or Education Savings Account (ESA) Custodian

The assets described below must all be held by the financial institution indicated in Section 3. If you're moving assets from more than one institution, fill out a separate form for each. Your rollover proceeds will be invested according to the allocation instructions on file for your account at the time the assets are received. Rollovers by check won't be available for withdrawal for ten business days.

Check and complete one.

Roll over all of the assets in my current account to my Stable Value Plus account.

Roll over a portion of the assets in my current account to my Stable Value Plus account.

Account Number

Principal Amount \$

Earnings Amount \$

Total Rollover Amount \$

5 Signature—YOU MUST SIGN BELOW

If your current 529 plan manager or education savings account (ESA) custodian requires a signature guarantee, do not sign below until you're in the presence of the authorized officer of a bank, broker, or other qualified financial institution. A notary public cannot provide a signature guarantee, nor can you guarantee your own signature.

I certify that I have read the CollegenInvest Stable Value Plus Savings Plan Disclosure Statement and understand the rules and regulations governing direct rollovers from other 529 plans and ESAs. I understand that IRS regulations permit only one such rollover for the same beneficiary in a 12-month period. I understand that transferring assets from another Colorado plan for the same beneficiary will be treated as a change of investment options, which is allowable tax-free twice per calendar year.

Signature of Account Owner (If the account owner is a minor, the designated parent or guardian must sign.)

Date (month, day, year)

Signature Guarantee—IF APPLICABLE

Check with your current 529 plan manager or ESA custodian to determine if a signature guarantee—that is, certification that your signature is genuine—is required below to process this rollover. The lack of a required signature guarantee could delay this rollover.

Signature of Guarantor

Title/Name of Institution

Date (month, day, year)

Authorized Officer to Place Stamp Here

6 Authorization and Acceptance (CollegenInvest will complete this section.)

The CollegenInvest Stable Value Plus College Savings Plan hereby agrees to accept the rollover described herein and, upon receipt, will deposit the proceeds into the account established on behalf of the individual named herein.

Authorized Signature, CollegenInvest Stable Value Plus Plan

Instructions to Custodian

Send redemption proceeds by check to: **CollegenInvest Stable Value Plus College Savings Plan, 1560 Broadway, Suite 1700, Denver, CO 80202.** Make the check payable to **CollegenInvest Stable Value Plus.** Include the Stable Value Plus account number on the check and enclose a statement detailing a breakdown of contributions and earnings.

